# Some Properties of the Peak-Set-Mapping

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### 1. INTRODUCTION

Let X be a normed linear space over the field of the real or complex numbers and let X' be its dual space. We study the set-valued mapping

$$\Sigma(x) := \{L \in X' : ||L| \leq 1 \text{ and } L(x) = ||x||\}.$$

which associates to each  $x \in X$  the *peak* set  $\Sigma(x)$ .

First, we apply the known fact that  $\Sigma$  is upper semicontinuous [12, 3] to some problems in the theory of Chebyshev-approximation of continuous vector-values functions.

Let V be a subset of X and  $x \in X$ . An element  $v_0 \in V$  is called a *best* approximation for x by elements of the set V whenever  $||x - v_0|| = \min\{||x - v_0|| : v \in V\}$ . For  $x \in X$ , we denote by  $P_V(x)$  the set of all best approximations for x by elements of V. The set-valued mapping  $P_V$  is called the *metric projection* associated with V.

For T a locally compact Hausdorff-space,  $C_0(T, X)$  denotes the space of continuous functions  $f: T \rightarrow X$  which vanish at infinity, that is for each  $\epsilon > 0$  the set

$$|t \in T : |f(t)| \ge \epsilon$$

is compact. This linear space is provided with the Chebyshev-norm

$$||f_i| := \max\{|f(t)|_X : t \in T\}.$$

(In order to distinguish between the norms in  $C_0(T, X)$  and X we shall sometimes denote the latter by  $\|\cdot\|_X$ ). If X is the real axis R and T a compact space we shall simply write C(T) instead of  $C_0(T, R)$ .

We prove by a direct method which does not use the representation of the

extreme points of the unit-ball in the dual space of  $C_0(T, X)$ , that the generalized Kolmogorov-criterion is always sufficient to ensure that  $v_0 \in V$  be a best approximation for  $f \in C_0(T, X)$ . Then we characterize the so-called Kolmogorov-sets, that is, those sets for which the Kolmogorov-criterion is also necessary. In the limiting cases this characterization reduces to one which is known [4, 5, 6, 10]. A direct approach to such a characterization is desirable because the results for  $C_0(T, X)$  are rather difficult to derive from the general results for normed linear spaces, cf. for instance [10], where such a derivation is performed for  $C_0(T, H)$ , where T is a compact and H a pre-Hilbert space.

In the second part of the paper we study spaces X which have the property that to every point  $x_0 \in X$  there exist certain neighborhoods U and points  $x_U \in U$  such that  $\Sigma(x) \subset \Sigma(x_U)$  for all  $x \in U$ . We show that in spaces with this maximum property each moon is a Kolmogorov-set. (The concept of a moon was introduced and studied by Amir and Deutsch [1].) We show that the (A)-spaces of Brosowski and Deutsch [7] have this maximum property. Furthermore, we demonstrate that the (QP)-spaces of Amir and Deutsch [1] are characterized by the property that each  $x_0 \in X$  has a neighborhood U such that  $\Sigma(x) \subset \Sigma(x_0)$  for every  $x \in U$ . Finally, we point out that spaces which have this maximum property of the peak-set-mapping are strongly nonlunar in the sense of Amir and Deutsch [1].

In the third part we show how the (P)-spaces of Brown [11] are characterized by an elementary property of  $\Sigma$ . Furthermore, we demonstrate that in (P)-spaces the continuity of the set-valued metric projection  $P_{\nu}(x)$  associated with a subset V of X, which was proved by Brown for linear subspaces only, also holds for certain convex approximatively compact sets V. An example will show that this continuity is not valid for an arbitrary convex compact set V.

#### 2. The Upper Semicontinuity of $\Sigma$

We begin by recalling some definitions (cf. [2, p. 114]).

DEFINITION 2.1. Let T and Y be topological spaces.

(a) A set-valued mapping  $A: T \to Y$  is called upper semicontinuous if for each  $t_0 \in T$  and each open set  $U \subseteq Y$  with  $A(t_0) \subseteq U$  there is a neighborhood V of  $t_0$  such that  $A(t) \subseteq U$  for all  $t \in V$ .

(b) A set-valued mapping  $A: T \to Y$  is called lower semicontinuous if for each  $t_0 \in T$  and each open set  $U \subseteq Y$  with  $A(t_0) \cap U \neq \emptyset$  there is a neighborhood V of  $t_0$  such that  $A(t) \cap U \neq \emptyset$  for all  $t \in V$ .

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The terms upper and lower semicontinuous will be abbreviated by usc and lsc, respectively. A set-valued mapping  $A: T \rightarrow Y$  is called continuous if it is usc and lsc.

We assume throughout this paper that the closed unit ball

$$B(X'):=\{L\in X': \parallel L\parallel \leq 1\}$$

in the dual space X' is endowed with the weak topology  $\sigma(X', X)$ . Hence, B(X') is compact.

LEMMA 2.2. The bilinear function  $\Psi(x, L) := L(x)$  is continuous on  $X \times B(X')$ .

*Proof.* Let  $x_0 \in X$ ,  $L_0 \in B(X')$  and  $\epsilon > 0$ . Define

$$U := \{x \in X \colon || x - x_0 || < \epsilon/2\}$$

and

$$V := \{ L \in B(X') : |L(x_0) - L_0(x_0)| < \epsilon/2 \}.$$

Then U and V are neighborhoods of  $x_0$  and  $L_0$ , respectively. For  $(x, L) \in U \times V$ , we obtain

$$egin{aligned} & | arPsi_{(x,\ L)} - arPsi_{(x_0)},\ L_0)| & = | L(x) - L_0(x_0)| \ & = | L(x) - L(x_0)| + | L(x_0) - L_0(x_0)| \ & < | x - x_0| + \epsilon/2 < \epsilon. \end{aligned}$$

COROLLARY 2.3. Let T be a topological space, and  $f: T \to X$  a continuous mapping. Then the function  $\Phi(t, L) := L(f(t))$  is continuous on  $T \times B(X')$ .

LEMMA 2.4. The set-valued mapping  $\Sigma: X \to B(X')$ , which is defined by

$$\Sigma(x) := \{ L \in B(X') : L(x) = ||x| \},\$$

is usc.

*Proof.* By virtue of (2.2) the function F(x, L) := L(x) - ||x|| is continuous on  $X \times B(X')$ . The graph  $\Gamma$  of  $\Sigma$  may be represented by

$$\Gamma := \{ (x, L) \in X \times B(X') : L \in \Sigma(x) \}$$
$$= \{ (x, L) \in X \times B(X') : F(x, L) = 0 \}.$$

Hence,  $\Gamma$  is closed, and  $\Sigma$  is usc (cf. [2, p. 118]).

From the continuity properties of a set-valued mapping follow continuity properties for some associated real functions as demonstrated in the next lemma, which is a consequence of a theorem of Berge [2, p. 122, Theorem 2]. For single-valued real functions we use the usual semicontinuity concepts which must not be confused with those of definition (2.1).

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LEMMA 2.5. Let T be a topological space,  $A: T \rightarrow B(X')$  an use set-valued mapping such that A(t) is nonvoid and compact for every  $t \in T$ , and let  $f: T \rightarrow X$  be a continuous function. Then the real functions

$$\phi(t) := \min\{\operatorname{Re} L(f(t)) : L \in A(t)\},\$$
  
$$\psi(t) := \max\{\operatorname{Re} L(f(t)) : L \in A(t)\}$$

are lsc and usc, respectively.

From (2.4) and (2.5) we may conclude the following corollary immediately.

COROLLARY 2.6. Let T be a topological space and  $f, g : T \rightarrow X$  continuous functions. Then the real function

$$\phi(t) := \min\{\operatorname{Re} L(f(t)) : L \in \Sigma(g(t))\}$$

is lsc.

### 3. The Generalized Kolmogorov Criterion in $C_0(T, X)$

By  $\mathscr{E}(X')$  we denote the set of extreme points of B(X') and, for  $x \in X$ , by  $\mathscr{E}(x) := Ep(\Sigma(x))$  the set of the extreme points of  $\Sigma(x)$ . For  $f \in C_0(T, X)$ , we define

$$M(f) := \{t \in T : \|f(t)\|_X = \|f\|\}.$$

Best approximations in a normed linear space X may be characterized by use of Singer's generalization of the classical *Kolmogorov criterion* [14, p. 62]: Let V be a linear subspace of X,  $x \in X \setminus \overline{V}$  and  $v_0 \in V$ . Then  $v_0$  is in  $P_V(x)$  if and only if

$$\min\{\operatorname{Re} L(v-v_0): L \in \mathscr{E}(x-v_0)\} \leqslant 0, \quad \text{for all} \quad v \in V.$$

The application of this criterion in the space  $C_0(T, X)$  requires knowledge of the extremal functionals on  $C_0(T, X)$ . Such knowledge is rather difficult to obtain. In the case T compact and X a Banach space Singer [14, p. 191], and in the general case Brosowski and Deutsch [7], have proved that a linear functional  $\Lambda$  on  $C_0(T, X)$  is extremal if and only if there is a point  $t_0 \in T$ and an extreme point  $L_0$  of B(X') such that

$$\Lambda(f) = L_0(f(t_0)) \quad \text{for all} \quad f \in C_0(T, X).$$

We have mentioned these results in order to show that the following results, which we arrive at by a direct approach, fit in the general framework of theorems of characterization in normed linear spaces. At first we state the sufficiency part of the generalized Kolmogorov criterion.

**THEOREM 3.1.** Let V be a subset of  $C_0(T, X)$ , and f and  $v_0$  elements of  $C_0(T, X) \setminus V$  and V, respectively. Whenever for each  $v \in V$  the inequality

$$\min\{\operatorname{Re} L(v(t) - v_0(t)) : t \in M(f - v_0) \text{ and } L \in \mathcal{E}(f(t) - v_0(t))\} \leqslant 0 \quad (1)$$

holds, then  $v_0$  is a best approximation for f by elements of V.

*Remark.* At first we show that the minimum in (1) is always attained. Since the set

 $T := \{(t, L) \in T \times B(X') : t \in M(f - v_0) \text{ and } L \in \Sigma(f(t) - v_0(t))\}$ 

is a compact subset of  $T \times B(X')$ , and the function

$$\Phi(t, L) := \operatorname{Re} L(v(t) - v_0(t))$$

is continuous on  $T \times B(X')$ , there exists  $(t_0, L_0) \in \Gamma$  such that

$$\Phi(t_0, L_0) := \min\{\Phi(t, L) : (t, L) \in \Gamma\}.$$

The set

$$\begin{aligned} \{L_1 \in \mathcal{Z}(f(t_0) - v_0(t_0)) : \Phi(t_0, L_1) \\ &= \min\{\Phi(t_0, L) : L \in \mathcal{Z}(f(t_0) - v_0(t_0))\} \end{aligned}$$

is a nonvoid extremal subset of  $\Sigma(f(t_0) - v_0(t_0))$ . Therefore, it contains extreme points. These are also extreme points of  $\Sigma(f(t_0) - v_0(t_0))$ . Thus, the functional  $L_0$  may be chosen in  $\mathcal{E}(f(t_0) - v_0(t_0))$ , and the minimum in (1) is attained.

*Proof of theorem* 3.1. Suppose that  $v_0$  is not a best approximation for f. Then there exists an element  $v \in V$  such that

$$||f(t) - v(t)||_{\mathcal{X}} < ||f - v_0| \quad \text{for all} \quad t \in T.$$
(2)

By (1), there is  $t_0 \in \mathcal{M}(f - v_0)$  and  $L_0 \in \mathscr{E}(f(t_0) - v_0(t_0))$  with

Re 
$$L_0(v(t_0) - v_0(t_0)) \ll 0$$
.

Hence, it follows that

$$\|f(t_0) - v(t_0)\|_{\mathcal{X}} \ge \operatorname{Re} L_0(f(t_0) - v(t_0)) = \operatorname{Re} L_0(f(t_0) - v_0(t_0)) - \operatorname{Re} L_0(v(t_0) - v_0(t_0)) = \|f - v_0\| - \operatorname{Re} L_0(v(t_0) - v_0(t_0)) \ge \|f - v_0\|,$$

which contradicts the supposed inequality (2).

It is well known that the Kolmogorov criterion is always necessary for convex but not for arbitrary sets V. Those sets V for which the Kolmogorov criterion is always necessary are called *Kolmogorov sets* [10]. In order to describe these sets geometrically Brosowski [4, 6] and Brosowski and Wegmann [10] introduced the concept of regular sets. So as to avoid further misuse of the term "regular" we introduce here instead a property (R).

DEFINITION 3.2. Let V be a subset of  $C_0(T, X)$ . We say  $v_0 \in V$  is an (R)point of V if the following requirement is fulfilled: Whenever for elements  $f \in C_0(T, X)$ ,  $v \in V$ , and an use set-valued mapping  $A: T \to B(X')$ , which takes values in the set of closed subsets of B(X') such that  $A(t) \supseteq \mathcal{E}(f(t))$  for  $t \in M(f)$  the inequality

Re 
$$L(v(t) - v_0(t)) > 0$$

holds for all  $t \in M(f)$  and  $L \in A(t) \cap \mathscr{E}(X')$  then there exists for every  $\lambda > 0$  an element  $v_{\lambda} \in V$  such that  $||v_{\lambda} - v_0|| < \lambda$  and

$$\operatorname{Re} L(v_{\lambda}(t) - v_{0}(t)) > \operatorname{Re} L(f(t)) - ||f||$$

for  $t \in M(f)$  and  $L \in A(t) \cap \mathscr{E}(X')$ .

LEMMA 3.3. Let V be a subset of  $C_0(T, X)$ ,  $v_0$  an (R)-point of V and  $f \in C_0(T, X)$ . Whenever for an element  $v \in V$  the inequality

Re  $L(v(t) - v_0(t)) > 0$  holds for  $t \in M(f - v_0)$  and  $L \in \mathscr{E}(f(t) - v_0(t))$ ,

then there is for every  $\lambda > 0$  an element  $v_{\lambda} \in V$  such that  $||v_0 - v_{\lambda}|| < \lambda$  and  $||f - v_{\lambda}|| < ||f - v_0||$ .

*Proof.* From the assumption it follows that even Re  $L(v(t) - v_0(t)) > 0$  for  $t \in M(f - v_0)$  and  $L \in \Sigma(f(t) - v_0(t))$ . The set  $M(f - v_0)$  is compact, and the function

$$\phi(t) := \min\{\operatorname{Re} L(v(t) - v_0(t)) : L \in \Sigma(f(t) - v_0(t))\}$$
(3)

is lcs. by virtue of (2.6). Therefore, we have  $\phi(t) \ge a > 0$  for  $t \in M(f - v_0)$ .

The open set  $U_1 := \{t \in T : \phi(t) > a/2\}$  contains  $M(f - v_0)$ . Since  $v - v_0$  vanishes at infinity, and  $\phi(t) \leq ||v(t) - v_0(t)|_X$ , the set  $U_1$  is relatively compact.  $U_1$  is a neighborhood of the compact set  $M(f - v_0)$ . Since a locally compact space is a regular space, the closed neighborhoods of a compact set form a basis of neighborhoods. Hence, there exists an open set  $U_2$  such that

$$\mathcal{M}(f-v_0) \subseteq U_2 \subseteq \overline{U}_2 \subseteq U_1$$
.

For  $t \in T \setminus U_2$ , we have

$$\|f(t) - v_0(t)\|_X < \|f - v_0\|.$$
(4)

From the inclusion  $\overline{U}_2 \subseteq U_1$  it follows that

Re 
$$L(v(t) - v_0(t)) > a/2 > 0$$
 (5)

holds for  $t \in \overline{U}_2$  and  $L \in \Sigma(f(t) - v_0(t))$ . Hence,  $v(t) - v_0(t) \neq 0$  and  $f(t) - v_0(t) \neq 0$  for all  $t \in \overline{U}_2$ .

Since  $\overline{U}_2$  is compact,

$$a_1 := \min\{\|f(t) - v_0(t)\|_X : t \in \overline{U}_2\}$$

is positive. The function

$$\rho_1(t) := \min(1, a_1 / \|f(t) - v_0(t)\|_X)$$

is continuous. (For t with  $f(t) - v_0(t)|_{\mathcal{X}} = 0$  put  $\rho_1(t) = 1$ .) Since  $\phi$  as defined in (3) is lsc, the set

$$C:=\{t\in T: \phi(t)\leqslant 0\}$$

is closed, and  $C \cap U_1 = \emptyset$ .

There exists a continuous function  $\rho_2$  such that  $0 \leq \rho_2(t) \leq 1$ ,  $\rho_2(t) = 1$  for  $t \in \overline{U}_2$  and  $\rho_2(t) = 0$  outside  $U_1$  (cf. [13, p. 247]). Then in particular  $\rho_2(t)$  vanishes for  $t \in C$ .

Now define  $\rho(t) := \rho_1(t) \cdot \rho_2(t)$  and  $f_1(t) := v_0(t) + \rho(t)(f(t) - v_0(t))$  for  $t \in T$ . By construction, we obtain  $f_1 \in C_0(T, X)$ ,  $T \setminus C \supset M(f_1 - v_0) \supset \overline{U}_2$  and  $\Sigma(f_1(t) - v_0(t)) = \Sigma(f(t) - v_0(t))$  for those t which have  $f_1(t) - v_0(t) \neq 0$ . Hence, it follows that Re  $L(v(t) - v_0(t)) > 0$  for  $t \in M(f_1 - v_0)$  and  $L \in \Sigma(f_1(t) - v_0(t))$ .

By virtue of the lower semicontinuity of the function

$$\phi_1(t) := \min\{\text{Re } L(v(t) - v_0(t)) : L \in \Sigma(f_1(t) - v_0(t))\}$$

we have  $\phi_1(t) \ge b > 0$ , for  $t \in M(f_1 - v_0)$  and  $L \in \Sigma(f_1(t) - v_0(t))$ . For every  $t \in M(f_1 - v_0)$ , the set

$$A(t) := \{L \in B(X') : \operatorname{Re} L(v(t) - v_0(t)) \ge b/2\}$$

is closed, and contains  $\mathscr{E}(f_1(t) - v_0(t))$ . The set-valued mapping  $A: T \to B(X')$ is use since the function  $\Phi(t, L) := \operatorname{Re} L(v(t) - v_0(t))$  is continuous on  $T \times B(X')$ , by (2.3), and the graph of A

$$\Gamma(A) := \{ (t, L) \in T \times B(X') : \Phi(t, L) \ge b/2 \}$$

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is closed. Now, since  $v_0$  is an (R)-point of V, there exists for every  $\lambda > 0$  an element  $v_{\lambda} \in V$  such that  $||v_{\lambda} - v_0|| < \lambda$  and

$$\operatorname{Re} L(v_{\lambda}(t) - v_{0}(t)) > \operatorname{Re} L(f_{1}(t) - v_{0}(t)) - \|f_{1} - v_{0}\|$$
  
for  $t \in M(f_{1} - v_{0})$  and  $L \in A(t) \cap \mathscr{E}(X')$ . (6)

Since  $||f(t) - v_0(t)|_X$  vanishes at infinity and  $T ||U_2|$  is closed and disjoint from  $M(f - v_0)$ , it follows that

$$e_1 := \max\{ |f(t) - v_0(t)|_X : t \in T \setminus U_2 \} < \pm f - v_0$$

The set-valued mapping

$$A_1(t) := \{L \in B(X') : \text{Re } L(v(t) - v_0(t)) \le b/2\}$$

is use, by the same reasons as A. Since

$$A_1(t) \cap \mathcal{L}(f_1(t) - v_0(t)) = \ \text{or} \quad \text{for} \quad t \in M(f_1 - v_0)$$

the function

$$\phi_2(t) := \max\{\operatorname{Re} L(f_1(t) - v_0(t)) : L \in A_1(t)\}$$

is such that

$$\phi_2(t) < \|f_1(t) - v_0(t)\|_X = \|f_1 - v_0\|_X$$

for  $t \in M(f_1 - v_0)$ . By (2.5)  $\phi_2$  is usc. So we have

$$e_2 := \max\{\phi_2(t) : t \in \mathcal{M}(f_1 - v_0)\} < |f_1 - v_0|.$$

Choose  $\lambda$  so that

$$0 < \lambda < \min(\|f-v_0\|-e_1, \|f_1-v_0\|-e_2).$$

Then we obtain for  $t \in T^{\vee} U_2$ 

$$\|f(t) - v_{\lambda}(t)\|_{\mathcal{X}} \leq \|f(t) - v_{0}(t)\|_{\mathcal{X}} + \|v_{0}(t) - v_{\lambda}(t)\|_{\mathcal{X}}$$
$$\leq e_{1} + \lambda < \|f - v_{0}\|.$$

Now let t be in  $U_2$ . Then t is in  $M(f_1 - v_0)$ , since  $U_2 \subseteq M(f_1 - v_0)$ . For  $L \in A(t) \cap \mathcal{E}(X')$  we obtain by (6)

$$\operatorname{Re} L(f_{1}(t) - v_{\lambda}(t)) = \operatorname{Re} L(f_{1}(t) - v_{0}(t)) + \operatorname{Re} L(v_{0}(t) - v_{\lambda}(t))$$
  
$$< ||f_{1} - v_{0}|.$$

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On the other hand, for  $L \in A_1(t)$  the inequality

$$\operatorname{Re} L(f_1(t) - v_{\lambda}(t)) = \operatorname{Re} L(f_1(t) - v_0(t)) + \operatorname{Re} L(v_0(t) - v_{\lambda}(t))$$
$$\leqslant \phi_2(t) + \lambda < ||f_1 - v_0||$$

holds.

Since  $(A(t) \cap \mathscr{E}(X')) \cup A_1(t) \supset \mathscr{E}(X')$ , it follows that

 $\|f_1(t) - v_{\lambda}(t)\|_X < \|f_1 - v_0\|.$ 

By construction of  $f_1$ , we have

$$f_1(t) - v_0(t) = \rho(t)(f(t) - v_0(t)),$$

with a function  $\rho(t)$  such that  $0 \le \rho(t) \le 1$ . Therefore, for every  $t \in U_2$ , we obtain

$$\|f(t) - v_0(t)\|_{\mathcal{X}} = \|f(t) - f_1(t)\|_{\mathcal{X}} + \|f_1(t) - v_0(t)\|_{\mathcal{X}}$$
  
$$> \|f(t) - f_1(t)\|_{\mathcal{X}} + \|f_1(t) - v_\lambda(t)\|_{\mathcal{X}} \ge \|f(t) - v_\lambda(t)\|_{\mathcal{X}}$$

which yields finally

$$||f(t) - v_{\lambda}(t)||_{X} < ||f(t) - v_{0}(t)||_{X}$$
 for all  $t \in T$ .

We are now ready to give the promised characterization of Kolmogorov sets in  $C_0(T, X)$ .

THEOREM 3.4. A set  $V \subseteq C_0(T, X)$  is a Kolmogorov set if and only if each point  $v_0$  of V is an (**R**)-point of V.

*Proof.* Let V be a Kolmogorov set. Suppose that there exists some  $v_0 \in V$  which is not an (R)-point. That is, there exist  $f \in C_0(T, X)$ ,  $v \in V$  and an usc set-valued mapping  $A: T \to B(X')$ , which takes values in the set of closed subsets of B(X') with  $A(t) \supset \mathscr{E}(f(t) - v_0(t))$ , for  $t \in M(f - v_0)$ , such that

Re 
$$L(v(t) - v_0(t)) > 0$$
  
for  $t \in M(f - v_0)$  and  $L \in A(t) \cap \mathscr{E}(X')$ , (6a)

and there exists  $\lambda > 0$  such that, for every  $v_{\lambda} \in V$  with  $||v_{\lambda} - v_{0}|| < \lambda$ , there exist  $t_{0} \in M(f - v_{0})$  and  $L_{0} \in A(t) \cap \mathscr{E}(X')$  so that

$$\operatorname{Re} L_0(v_{\lambda}(t_0) - v_0(t_0)) \leq \operatorname{Re} L_0(f(t_0) - v_0(t_0)) - |f(t_0) - v_0(t_0)|_X.$$

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Hence, it follows that

$$\|f - v_0\| = \|f(t_0) - v_0(t_0)\|_X \leq \operatorname{Re} L_0(f(t_0) - v_\lambda(t_0))$$
  
$$\leq \|f(t_0) - v_\lambda(t_0)\|_X \leq \|f - v_\lambda\|,$$

and, therefore,  $v_0$  is a best approximation for f by elements of  $V_{\lambda} := \{v \in V : ||v - v_0|| < \lambda\}.$ 

Since V is a Kolmogorov set, each local minimum of the function  $\Psi(v) := |f - v|$  on V is a global one (cf. [10, p. 382]) and the Kolmogorov criterion is necessary, implying that

$$\min\{\operatorname{Re} L(v(t) - v_0(t)) : t \in M(f - v_0), \\ L \in \mathscr{E}(f(t) - v_0(t))\} \le 0 \quad \text{for every } v \in V.$$

This contradicts (6a). Hence,  $v_0$  must be an (R)-point.

Now let each point of V be an (R)-point. Suppose V is not a Kolmogorov set. Then there exist  $f \in C_0(T, X)$  and  $v_0 \in V$  such that  $v_0$  is a best approximation for f, but there is a  $v \in V$  such that

Re 
$$L(v(t) - v_0(t)) > 0$$
  
for  $t \in M(f - v_0)$  and  $L \in \mathscr{E}(f(t) - v_0(t))$ 

Since  $v_0$  is an (R)-point, by Lemma 3.3, there is an element  $v_{\lambda} \in V$  with  $||f - v_{\lambda}|| < ||f - v_0||$ , that is,  $v_0$  is not a best approximation for f by elements of V. This contradicts our assumption. Thus, V has to be a Kolmogorov set.

So as to give an application of Definition 3.2 and to point out that the most familiar Kolmogorov sets, the convex sets, are easily detected by means of Theorem 3.4; we now prove the following lemma.

LEMMA 3.5. Let V be a subset of  $C_0(T, X)$  which is star-shaped about some point  $v_0 \in V$ . Then  $v_0$  is an (R)-point of V.

*Proof.* Let  $f \in C_0(T, X)$ ,  $v \in V$ , and let  $A: T \to B(X')$  be a set-valued mapping with the properties required in Definition 3.2. For  $\lambda > 0$ , choose  $\mu$  such that

$$0 < \mu < \min(||v - v_0||, \lambda)$$

and define

$$v_{\lambda} := \left(1 - rac{\mu}{\|v - v_0\|}\right) v_0 + rac{\mu}{\|v - v_0\|} v_0$$

Since V is star-shaped about  $v_0$ , the element  $v_{\lambda}$  is in V. By construction, we obtain

$$\| v_\lambda - v_{0\perp} = \mu < \lambda$$

$$\operatorname{Re} L(v_{\lambda}(t) - v_{0}(t)) = \frac{\mu}{\|v - v_{0}\|} \operatorname{Re} L(v(t) - v_{0}(t))$$
$$= 0 \quad \operatorname{Re} L(f(t)) - \|f\|$$
$$= \text{for} \quad t \in M(f) \quad \text{and} \quad L \in A(t) \cap \mathscr{E}(X')$$

Hence,  $v_0$  is an (R)-point of V.

Now we consider special cases of Definition 3.2. If T consists of just one point then  $C_0(T, X)$  is equal to X and Definition 3.2 of an (R)-point of a subset V of  $C_0(T, X)$  reduces exactly to that of a regular point  $v_0$  of V interpreted as a subset of X as introduced in [10].

For *H* a pre-Hilbert space with inner product  $(\cdot, \cdot)$  and *T* a compact space, the concept of a regular point  $v_0$  of a subset *V* of  $C_0(T, H)$  was stated by Brosowski [4, 6] as follows: A point  $v_0 \in V$  is called *regular* if and only if, for every  $v \in V$ , for every closed subset  $C \subseteq T$  and for every continuous function  $f: C \to H$  with

$$\operatorname{Re}(f(t), v(t) - v_0(t)) \to 0 \quad \text{for} \quad t \in C,$$

there exists, for each  $\lambda > 0$ , an element  $v_{\lambda} \in V$  such that  $||v_{\lambda} - v_{0}|| < \lambda$  and

2 
$$\operatorname{Re}(f(t), v_{\lambda}(t) - v_{0}(t)) > ||v_{\lambda}(t) - v_{0}(t)||_{H}^{2}$$
 for  $t \in C$ .

First, we note that for  $x \in H$  the peak set  $\Sigma(x)$  consists of the only functional L defined by

$$L(y) := (y, x/||x||) \quad \text{for} \quad y \in H.$$

Now let  $v_0$  be a regular point of V, and let  $f \in C_0(T, H)$ ,  $v \in V$ , and let  $A: T \rightarrow B(H')$  be an use set-valued mapping such that  $A(t) \supset \delta(f(t))$  and Re  $L(v(t) - v_0(t)) > 0$  for  $t \in M(f)$  and  $L \in A(t) \cap \delta(H')$ . Then in particular Re $(f(t), v(t) - v_0(t)) > 0$  holds for  $t \in M(f)$ , and since  $v_0$  is a regular point of V there exists for every  $\lambda > 0$  a  $v_\lambda \in V$  so that  $||v_0 - v_\lambda|| < \lambda$  and

$$2 \operatorname{Re}(f(t), v_{\lambda}(t) - v_{0}(t)) > \langle v_{\lambda}(t) - v_{0}(t) \rangle_{H}^{2} \quad \text{for} \quad t \in M(f).$$

This is equivalent to

$$\|f(t) + v_0(t) - v_\lambda(t)\|_H < \|f(t)\|_H \quad \text{for each } t \in M(f).$$

Therefore,

$$\operatorname{Re} L(v_{\lambda}(t) - v_{0}(t)) = \operatorname{Re} L(f(t)) - |f|$$

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and

holds for all  $t \in M(f)$  and  $L \in B(H')$ , in particular for the functionals  $L \in A(t) \cap \mathscr{E}(H')$  as required in Definition 3.2.

The proof of the converse statement, that each (R)-point in  $C_0(T, H)$  is a regular point is more difficult and makes use of parts of the proof of Lemma 3.3. Although both concepts are equivalent, the notion of an (R)-point is formally weaker than that of a regular point.

### 4. Maximum Properties of $\varSigma$

In the Euclidean  $R^2$  the only sets U on which  $\Sigma(x)$  attains a greatest value in the  $\subset$ -ordering are the sets with  $0 \in U$  and the sets which consist of positive mutiples of one single vector. But there are spaces where each point admits a basis of neighborhoods U which contain points  $x_U$  with  $\Sigma(x) \subset \Sigma(x_U)$ for all  $x \in U$ . The space  $R^2$  with the Chebyshev-norm is a simple example. We demonstrate that these spaces have some interest in investigations in "moons" recently done by Amir, Brosowski, and Deutsch [1, 7].

We recall some definitions and introduce some notations. For x and  $v_0$  in X we define the open cone

$$K(v_0, x) := \{ v \in X : \text{Re } L(v - v_0) > 0 \text{ for } L \in \Sigma(x - v_0) \}.$$

Furthermore, we define the unit sphere in X

$$S(X) := \{x \in X : ||x|| = 1\}$$

and the open ball

$$B(x_0, \epsilon) := \{x \in X : ||x - x_0|| < \epsilon\}$$

with center  $x_0$  and radius  $\epsilon$ .

Let V be a subset of X. An element  $v_0 \in V$  is called a *lunar point* if  $v_0 \in \overline{V \cap K(v_0, x)}$  whenever  $x \in X$  and  $V \cap K(v_0, x) \neq \emptyset$ . The set V is called a *moon* if each of its points is lunar.

The definition of a Kolmogorov set reads in this context as follows: V is a Kolmogorov set if and only if  $V \cap K(v_0, x) = \emptyset$  whenever  $v_0 \in V$  is a best approximation for x.

Each Kolmogorov set is a moon. The converse is not true in general. It has been noted [1, 7] that in certain familiar spaces, such as C(T) and  $l_1$ , each moon is a Kolmogorov set. Now we give a description of these spaces in terms of a property (MP) ("maximum peak set") of  $\Sigma$ .

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DEFINITION 4.1. A space X is said to have property (MP) if for each  $x_0 \in X$  there exists a system  $\mathfrak{A}$  of neighborhoods U of  $x_0$  such that

(a) For every  $U \in \mathfrak{A}$  there is  $x_U \in U$  with

 $\Sigma(x) \subseteq \Sigma(x_U)$  for all  $x \in U$ .

(b) For every subset  $W \subseteq X'$  which is a neighborhood of  $\Sigma(x_0)$  in the topology  $\sigma(X', X)$  there exists U in  $\mathfrak{A}$  such that  $\Sigma(x_U) \subseteq W$ .

For the remainder of this section we assume that the spaces X are over the field of the real numbers, because no spaces over the complex field have property (MP). To show this, let X have property (MP), and let  $x_0 \neq 0$  be an element of X. Then there exists a neighborhood U of  $x_0$  such that  $x_U \neq 0$  and  $\Sigma(x) \subset \Sigma(x_U)$  for all x in U. If X is over the complex field, there exists some scalar  $\alpha$  with  $\text{Im}(\alpha) \neq 0$  such that  $\alpha x_0 \in U$ . Since for  $L \in \Sigma(\alpha x_0)$  the equality  $L(\alpha x_0) = |\alpha| \cdot ||x_0||$  holds, the functional  $L_1 := |\alpha| ||\alpha| + L$  is in  $\Sigma(x_0)$ . Hence, we obtain the equality

$$||x_{U}|| = L_{1}(x_{U}) = \frac{x}{|x|} L(x_{U}) = \frac{x}{|x|} ||x_{U}||,$$

which is impossible for  $x_U \neq 0$ .

**THEOREM 4.2.** If X has the property (MP) then every moon is a Kolmogorov set.

*Proof.* Let V be a moon and  $v_0 \in V$  a best approximation for  $f \in X$  by elements of V. We have to show

$$\min\{L(v - v_0) : L \in \delta(f - v_0)\} \le 0$$

for every  $v \in V$ . Suppose that there is a v in V such that  $L(v - v_0) > 0$  for all  $L \in \mathscr{E}(f - v_0)$ . Then  $L(v - v_0) > 0$  for all  $L \in \Sigma(f - v_0)$  and  $L(v - v_0) > a$  a > 0 since  $\Sigma(f - v_0)$  is compact. The set

$$W := \{L \in X' : L(v - v_0) \to a/2\}$$

is an open neighborhood of  $\Sigma(f - v_0)$ . Since X has property (MP) there is a neighborhood U of  $f - v_0$  and  $g_U \in U$  such that  $\Sigma(g) \subset \Sigma(g_U) \subset W$  for all  $g \in U$ . For  $f_1 := v_0 + g_U$ , it follows that

$$L(v - v_0) = 0 \quad \text{for all } L \in \Sigma(f_1 - v_0),$$

that is  $v \in K(v_0, f_1)$ . Since V is a moon there exists for every  $\lambda > 0$  an element  $v_{\lambda} \in V$  such that  $||v_{\lambda} - v_0|| < \lambda$  and

$$L(v_{\lambda} - v_0) = 0 \quad \text{for all } L \in \Sigma(f_1 - v_0). \tag{7}$$

We choose  $\lambda > 0$  so small that  $B(f - v_0, \lambda) \subseteq U$ , and show that  $||f - v_{\lambda}|| < ||f - v_0||$ .

Suppose that  $||f - v_{\lambda}|| \ge ||f - v_0||$ . Then the outer part

$$\mathsf{Z}_{\mathsf{0}} := \{ v \in \mathsf{Z} : \| f - v \| \ge \| f - v_{\mathsf{0}} \| \}$$

of the segment

$$Z:=\{v_{\lambda}+ heta(v_0-v_{\lambda}): 0\leqslant heta<1\}$$

is convex and, since  $v_{\lambda} \in Z_0$  by assumption, nonvoid. There exists a functional, which separates  $Z_0$  from the ball  $B(f, ||f - v_0||)$ , that is, there exists  $L_0 \in X'$  such that  $||L_0|| = 1$  and

$$\sup\{L_0(v): v \in Z_0\} \le \inf\{L_0(v): v \in B(f, ||f - v_0||)\},\$$

whence it follows that

$$L_0(v_{\lambda} - v_0) \leqslant 0. \tag{8}$$

Define  $v_{\theta} := v_{\lambda} + \theta(v_{\theta} - v_{\lambda})$  and

$$\theta_0 := \min\{\theta \in [0, 1] : ||f - v_\theta|| = ||f - v_0||\}.$$

Then we have

$$\begin{split} L_0(v_{\theta_0} - f) &= \inf\{L_0(v - f) : v \in B(f, ||f - v_0||)\} = -||f - v_0|| \\ &= -||f - v_{\theta_0}||, \end{split}$$

and, therefore,  $L_0 \in \Sigma(f - v_{\theta_0})$ . Since  $v_{\theta_0} \in B(v_0, \lambda)$ , the element  $f - v_{\theta_0}$  is in U and the functional  $L_0$  is in  $\Sigma(f_1 - v_0)$ , and (8) contradicts (7). Therefore, we have  $||f - v_{\lambda}|| < ||f - v_0||$ , which contradicts the fact that  $v_0$  is a best approximation for f by elements of V. Thus, the theorem is proved.

Now we give two examples of spaces with property (MP). Let first T be a compact Hausdorff space. We show that X := C(T) has property (MP). Let  $f \neq 0$  be in C(T) and define  $M(f) := \{t \in T : |f(t)| = ||f||\}$ . The peak set  $\Sigma(f)$  consists of all Radon measures  $\mu$  on T with  $||\mu|| = 1$ ,  $\operatorname{supp}(\mu) \subset M(f)$ , and  $\int f d\mu = ||f||$ . For  $\epsilon$  with  $0 < \epsilon < ||f||$  we define

$$f_1(t) := \min(f(t) + \epsilon, ||f|| - \epsilon)$$
  
$$f_2(t) := \max(f(t) - \epsilon, - ||f|| + \epsilon).$$

There is a continuous function  $\rho$  such that  $0 \leq \rho(t) \leq 1$ ,

 $\rho(t) = 1$  for  $t \in \{t \in T : f_1(t) = ||f|| - \epsilon\}$ 

and

$$\rho(t) = 0 \quad \text{for} \quad t \in \{t \in T : f_2(t) = - ||f|| + \epsilon\}.$$

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The function

$$g_U(t) := \rho(t) f_1(t) + (1 - \rho(t)) f_2(t)$$

has the property that  $M(g_U) \supset M(g)$  for each  $g \in U_{\epsilon} := \{g \in X : |g - f|\} \leq \epsilon\}$ . Hence,  $\Sigma(g) \subset \Sigma(g_U)$  for all  $g \in U_{\epsilon}$ . Because the sets  $U_{\epsilon}$  form a basis of neighborhoods of the point f and the mapping  $\Sigma$  is use, the requirements (a) and (b) of Definition 4.1. are proved.

Let now X be the space  $I_1$  of all sequences  $x = (x_i)$  which are absolutely summable, normed by  $||x|| := \sum_{i=1}^{\infty} |x_i|$ . The dual space X' is identical with  $I_{\infty}$ , the space of bounded sequences. For  $f \in X$ ,  $\Sigma(f)$  is the set of all h in  $I_{\infty}$  such that  $h_i = \operatorname{sign}(f_i)$  for all i with  $f_i \neq 0$ . For  $\epsilon > 0$  define

$$U_{\epsilon} := \{ g \in l_1 : ||f - g|| \leqslant \epsilon \} \cup \{ g_U \},$$

where  $g_U$  is given by

$$g_{U,i} := \begin{cases} f_i & \text{if } |f_i| > \epsilon, \\ 0 & \text{if } |f_i| \leqslant \epsilon. \end{cases}$$

Whenever  $g_{U,i} \neq 0$  for an index  $i \in N$ , then  $\operatorname{sign}(g_i) = \operatorname{sign}(g_{U,i})$  for all  $g \in U_{\epsilon}$ , whence it follows that  $\Sigma(g) \subset \Sigma(g_U)$  for all  $g \in U_{\epsilon}$ . Since

$$||f - g_{U}|| = \sum_{i=1}^{\infty} |f_i - g_{U,i}| = \sum_{|f_i| \le \epsilon} |f_i|$$

converges to zero for  $\epsilon \to 0$ , the sets  $U_{\epsilon}$  thus defined form a basis of neighborhoods of f, and  $l_1$  has property (MP).

In the following the set  $\mathscr{E}(X')$  of the extreme points of the unit ball in X' is provided with the topology which is induced by  $\sigma(X', X)$ . The interior of a subset A of  $\mathscr{E}(X')$  in this topology is denoted by Å.

Brosowski and Deutsch [7] introduced a *property* (A) as follows: A normed linear space X is said to have property (A) if for each  $f \neq 0$  in X there is a family  $(g_{\tau})_{\tau \in T}$  of elements  $g_{\tau}$  in X such that

(1) For each open subset W of  $\mathscr{E}(X')$  which contains  $\mathscr{E}(f)$  there is a  $\tau \in T$  with  $\mathscr{E}(g_{\tau}) \subset W$ .

(2) For each  $\tau \in T$ ,

$$\sup\{L(f): L \in \mathscr{E}(X') \setminus \mathscr{E}(g_{\tau})\} < ||f||.$$

THEOREM 4.3. Each space with property (A) has property (MP).

*Proof.* Let f be an element in X and  $W \subseteq X'$  a  $\sigma(X', X)$ -neighborhood of  $\Sigma(f)$ . Since each compact convex set in a locally convex space admits a basis

of convex and open neighborhoods, we may assume that W is convex and open. Because X has property (A) there exists  $g_{\tau}$  in X such that

$$\mathscr{E}(f) \subseteq \mathscr{E}(g_\tau) \subseteq \mathscr{E}(g_\tau) \subseteq W$$

In spaces with property (A) the set-valued mapping  $x \to \mathscr{E}(x)$  is usc (cf. [7]). Hence, the set

$$U := \{h \in X : \mathscr{E}(h) \subset \mathscr{E}(g_{\tau})\}$$

is a neighborhood of f. We have  $\mathscr{E}(g) \subseteq \mathscr{E}(g_{\tau}) \subseteq W$  for each  $g \in U$  and, since W is a  $\sigma(X', X)$ -open convex subset of X', even  $\Sigma(g) \subseteq \Sigma(g_{\tau}) \subseteq W$  for all  $g \in U$ .

Amir and Deutsch [1] called an element  $v_0$  of the sphere S(X) a quasipolyhedral point (or (QP)-point) if  $v_0 \notin \overline{K(v_0, 0)} \cap S(X)$ . The space X is called a (QP)-space if each  $v_0$  in S(X) is a (QP)-point. The following theorem shows that the (QP)-spaces have property (MP), and gives a characterization of (QP)-spaces in terms of a maximum property of  $\Sigma$ .

THEOREM 4.4. A space X is a (QP)-space if and only if for each  $x_0 \in X$ there exists an  $\epsilon > 0$  such that  $\Sigma(x) \subset \Sigma(x_0)$  for  $x \in B(x_0, \epsilon)$ .

*Proof.* Let X be a (QP)-space and  $x_0$  an element in X. For  $x_0 = 0$  we have  $\Sigma(x_0) = B(X')$  and the statement is trivial. Let now be  $x_0 \neq 0$ . Then  $y_0 := x_0/||x_0||$  is a (QP)-point of S(X), that is, there exists  $\delta > 0$  such that

$$B(y_0, \delta) \cap K(y_0, 0) \subseteq B(0, 1).$$

From  $K(y_0, 0) \supseteq B(0, 1)$  it follows that generally

$$B(y_0, \delta) \cap K(y_0, 0) \supset B(y_0, \delta) \cap B(0, 1).$$

Thus, we obtain

$$B(y_0, \delta) \cap K(y_0, 0) = B(y_0, \delta) \cap B(0, 1).$$
(9)

Now let y be in  $S(X) \cap B(y_0, \delta)$  and  $L_0$  in  $\Sigma(y)$ . In view of (9) there exists  $\eta > 0$  such that for either sign  $y \pm \eta(y_0 - y)$  is in S(X). Thus, we obtain

$$1 = L_0(y) \ge L_0(y) \pm \eta L_0(y_0 - y) \\= 1 \pm \eta L_0(y_0 - y),$$

whence it follows that  $L_0(y_0) = L_0(y) = 1$ , that is  $L_0 \in \Sigma(y_0)$ . If we choose  $\epsilon$  in such a way that  $0 < \epsilon < ||x_0||$  and  $||x/|| ||x|| - ||y_0|| < \delta$  for  $||x - x_0|| < \epsilon$  we get the statement of the theorem.

To prove the inverse conclusion let  $x_0$  be a point of S(X). By hypothesis there is  $\epsilon > 0$  such that  $\Sigma(x) \subset \Sigma(x_0)$  for  $x \in B(x_0, \epsilon)$ . Now let

$$x \in K(x_0, 0) \cap B(x_0, \epsilon)$$
 and  $L \in \Sigma(x)$ .

Since  $\Sigma(x) \subset \Sigma(x_0)$  we obtain

$$1 = ||x_0|| = L(x_0) = L(x) + L(x_0 - x)$$
$$= ||x|| + L(x_0 - x) > ||x||,$$

that is  $x \in B(0, 1)$ . We have proved

$$K(x_0, 0) \cap B(x_0, \epsilon) \subseteq B(0, 1),$$

whence it follows that  $x_0$  is a (QP)-point.

In [1] the notion of a strongly nonlunar space was introduced. A point  $v_0$  of the unit sphere S(X) is called *strongly nonlunar* if for each u in  $K(v_0, 0)$  there is an x in B(0, 1) such that  $u \in K(v_0, x)$ , and there exists  $\epsilon > 0$  so that  $B(v_0, \epsilon) \cap K(v_0, x) \subset B(0, 1)$ . The space X is called strongly nonlunar if each point  $v_0 \in S(X)$  is strongly nonlunar. Since in strongly nonlunar spaces each moon is a Kolmogorov set [1, Theorem 2.18], Theorem 4.2 may also be proved by means of the following theorem.

**THEOREM** 4.5. Whenever X has property (MP) then X is strongly nonlunar.

*Proof.* Let  $v_0$  be in S(X) and u in  $K(v_0, 0)$ . This means  $L(u - v_0) < 0$  for all L in  $\Sigma(v_0)$ . Since  $\Sigma(v_0)$  is compact, it follows that  $L(u - v_0) \le a < 0$  for all  $L \in \Sigma(v_0)$ . The set

$$W := \{L \in X' : L(u - v_0) < a/2\}$$

is a neighborhood of  $\Sigma(v_0)$ . Property (MP) ensures the existence of a neighborhood U of  $v_0$  and an element  $x_U$  in U such that  $\Sigma(v) \subset \Sigma(x_U) \subset W$  for all  $v \in U$ . We put  $x_1 := v_0 - x_U$  and obtain by construction  $L(u - v_0) < 0$  for each  $L \in \Sigma(x_U) = \Sigma(v_0 - x_1)$ , whence  $u \in K(v_0, x_1)$ .

There exists  $\epsilon > 0$  such that  $B(v_0, \epsilon) \subseteq U$ . Let  $v_1$  be an element of  $B(v_0, \epsilon) \cap K(v_0, x_1)$ . This means  $||v_1 - v_0|| < \epsilon$  and

$$L(v_1 - v_0) < 0$$
 for each  $L$  in  $\Sigma(v_0 - x_1)$ . (10)

We show that  $||v_1|| < 1$ . Suppose on the contrary  $||v_1|| \ge 1$ , put  $v_{\theta} := v_0 + \theta(v_1 - v_0)$ , and define

$$\theta_0 := \max\{\theta \in [0, 1] : || v_\theta || \le 1\}.$$

Since  $||v_1|| \ge 1$ , the closed segment  $[v_{\theta_0}, v_1]$  is outside B(0, 1). By the separation theorem, there exists a functional  $L_0 \in X'$ ,  $||L_0|| = 1$ , such that

$$\sup\{L_0(v): v \in B(0, 1)\} \le \inf\{L_0(v): v \in [v_{\theta_0}, v_1]\}$$

The supremum on the left side is equal to  $||L_0|| = 1$ , and since  $v_{\theta_0}$  is a common boundary point of B(0, 1) and  $[v_{\theta_0}, v_1]$ , we obtain  $L_0(v_{\theta_0}) = 1 = ||v_{\theta_0}||$  that is  $L_0 \in \Sigma(v_{\theta_0})$ . By construction we have  $v_{\theta_0} \in U$ , whence it follows that  $L_0 \in \Sigma(x_U) = \Sigma(v_0 - x_1)$ . From  $L_0(v_0) \leq 1$  and  $L_0(v_1) \geq 1$  we obtain  $L_0(v_1 - v_0) \geq 0$ , which contradicts (10). Thus,  $||v_1|| < 1$  is proved.

So far the proof has only used properties of the set  $\Sigma(v_0 - x_1)$ . This set does not change if  $v_0 - x_1$  is multiplied by a positive factor. Passing to

$$x := v_0 - \frac{\epsilon}{2 \cdot \|v_0 - x_1\|} (v_0 - x_1),$$

we obtain  $K(v_0, x) = K(v_0, x_1)$ ,  $x \in K(v_0, x_1)$ ,  $||x - v_0|| < \epsilon$ , and an argument similar to that used in the preceding part of the proof yields  $x \in B(0, 1)$ . This completes the proof.

## 5. (P)-Spaces and the Continuity of the Set-Valued Metric Projection

Brown [11] called a normed linear space X a (P)-space if for each pair of elements  $x, z \in X$  with  $||x + z|| \leq ||x||$  there exist positive numbers c and b such that  $||y + cz|| \leq ||y||$  for all y with ||x - y|| < b. The following theorem characterizes (P)-spaces in terms of a property of  $\Sigma$ .

THEOREM 5.1. X is a (P)-space if and only if for every  $x_0, x_1 \in X$  the following requirement holds: If for all x in the open segment  $(x_0, x_1)$  the peak set  $\Sigma(x)$  is a subset of the hyperplane  $H(x_1 - x_0) := \{L \in X' : L(x_1 - x_0) = 0\}$ (which is orthogonal to  $x_1 - x_0$ ) then there exists a neighborhood U of  $(x_0, x_1)$ such that  $\Sigma(x) \subset H(x_1 - x_0)$  for all  $x \in U$ .

*Proof.* Let first X be a (P)-space and  $x_0, x_1 \in X$  such that  $\Sigma(x) \subset H(x_1 - x_0)$  for all  $x \in (x_0, x_1)$ , and let  $x_2$  be an element of  $(x_0, x_1)$ . We define  $z := \alpha(x_1 - x_0)$  with

$$0 < \alpha < \min(||x_2 - x_0||, ||x_2 - x_1||)/||x_1 - x_0||.$$

Since L(z) = 0 for  $L \in \Sigma(x_2 \pm z)$ , we obtain for either seign

$$|| x_2 || \ge \max\{\operatorname{Re} L(x_2) : L \in \mathcal{L}(x_2 \pm z)\} = \max\{\operatorname{Re} L(x_2 \pm z) : L \in \mathcal{L}(x_2 \pm z)\} = || x_2 \pm z ||.$$

#### WEGMANN

Because X is a (P)-space there exist c > 0 and a neighborhood  $U(x_2)$  of  $x_2$  such that

$$\|y \pm cz\| \le \|y\| \quad \text{for} \quad y \in U(x_2). \tag{11}$$

For every fixed y, z the function  $\psi(\gamma) := ||y| + \gamma z ||$  is a convex function in  $\gamma$ . Hence, it follows by virtue of (11) that  $||y| + \gamma z || = ||y||$  for all real  $\gamma$  with  $||\gamma|| \le c$ . That means that for each point  $y \in U(x_2)$  there is a segment S := (y - cz, y + cz), parallel to  $(x_0, x_1)$ , such that ||x|| = ||y|| for all  $x \in S$ . Hence, we obtain  $\Sigma(y) \subset H(x_1 - x_0)$ , and the set

$$U := \bigcup_{x_2 \in (x_0, x_1)} U(x_2)$$

is a neighborhood of  $(x_0, x_1)$  with the property that  $\Sigma(x) \subset H(x_1 - x_0)$  for all  $x \in U$ .

Now we prove the converse implication. Let  $x_0$ , z be elements of X such that  $||x_0 + z|| \le ||x_0||$ . Without loss of generality, we may assume  $z \ne 0$ . We consider two cases.

Let first  $||x_0 + \frac{1}{2}z|| < ||x_0||$ . Since the function

$$F(x) := \|x\| - \|x + \frac{1}{2}z\|$$

is continuous and  $F(x_0) > 0$  by assumption, there is a neighborhood U of  $x_0$  such that F(x) > 0 for every  $x \in U$ . Therefore, there exists b > 0 such that with c = 1/2 the inequality  $||y + cz_1| < ||y||$  holds for all y with  $||y - x_0|| < b$ .

Now we consider the second case  $||x_0 - \frac{1}{2}z|| = ||x_0||$ . Put  $x_1 := x_0 + z$ and  $\psi(\gamma) := ||x_0 + \gamma z||$ . Then  $\psi$  is convex and takes the value  $||x_0||$  at the three positions  $\gamma = 0, \frac{1}{2}, 1$ ; hence,  $\psi$  must be constant in the domain  $0 \le \gamma \le 1$ . Thus, each x in the segment  $(x_0, x_1)$  has the norm ||x|| = $||x_0||$ . Therefore, we have  $\Sigma(x) \subseteq H(x_1 - x_0)$  for every x in  $(x_0, x_1)$ .

By hypothesis there is a neighborhood U for  $(x_0, x_1)$  such that  $\Sigma(x) \subseteq H(x_1 - x_0)$  for all  $x \in U$ . Now let  $x_2$  be an element in  $(x_0, x_1)$ . We choose positive numbers b and c in such a way that

 $\{x \in X : ||x - x_2|| < 2b\} \subseteq U$  and c < b/||z||.

For each y with  $||y - x_2|| < b$  the inequality

$$||y + cz - x_2|| \leq ||y - x_2|| + c ||z|| < 2b$$

holds, and, therefore, y + cz is in U. Hence, we have

$$\Sigma(y + cz) \subseteq H(x_1 - x_0)$$

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$$|| y || \ge \max\{\operatorname{Re} L(y) : L \in \Sigma(y + cz)\}$$
  
= max{Re  $L(y + cz) : L \in \Sigma(y + cz)\} = || y + cz ||.$ 

Generally, when so constructed, U is not a neighborhood of  $x_0$ . Yet the numbers b and c obtained above are also applicable for  $x_0$  as we shall now see.

For fixed y and z the function  $\psi(\gamma) = ||y + \gamma z||$  is convex, and, hence, the difference  $\phi(\gamma) := \psi(\gamma) - \psi(\gamma + c)$  is monotone nonincreasing. Let now y be such that

$$\| y - x_0 \| < b, \quad \gamma_0 := \| x_2 - x_0 \| / \| z \|$$
 and  $y_1 := y + \gamma_0 z.$ 

Then

$$||y_1 - x_2|| = ||y - x_0||$$

and

$$||y|| - ||y + cz|| = \psi(0) - \psi(c)$$
  

$$\geq \psi(\gamma_0) - \psi(\gamma_0 + c) = ||y + \gamma_0 z|| - ||y + \gamma_0 z + cz||$$
  

$$= ||y_1|| - ||y_1 + cz|| \ge 0$$

as was shown in the preceding part of the proof. Thus, the theorem is proved.

By means of this characterization we can now exhibit a class of (P)-spaces.

THEOREM 5.2. Each (QP)-space X is a (P)-space.

*Proof.* Let  $x_0$ ,  $x_1$  be elements of X such that  $\Sigma(x) \subset H(x_1 - x_0)$  for all x in the segment  $(x_0, x_1)$ . Since X is a (QP)-space there exists by virtue of Theorem 4.4. for every  $x \in X$  a neighborhood U(x) such that  $\Sigma(y) \subset \Sigma(x)$  for all  $y \in U(x)$ . The set

$$U := \bigcup_{x \in (x_0, x_1)} U(x)$$

is a neighborhood of  $(x_0, x_1)$  with the property that  $\Sigma(y) \subseteq H(x_1 - x_0)$  for every  $y \in U$ .

Now we define for convex sets a property (P) and show that this property is strongly related to (P)-spaces.

DEFINITION 5.3. Let V be a convex subset of X. Then V has property (P) if for every  $x \in V$ ,  $z \in X$  with  $x + z \in V$  there exist positive numbers c and b such that  $y + cz \in V$  holds for every  $y \in V$  with ||y - x|| < b.

LEMMA 5.4. X is a (P)-space if and only if the closed unit ball  $B(X) := \{x \in X : ||x|| \le 1\}$  has property (P).

*Proof.* Let X be a (P)-space,  $x \in B(X)$  and  $z \in X$  such that  $x + z \in B(X)$ . If x is in the interior of B(X), then there exists  $\epsilon > 0$  such that  $\{y \in X : || x - y || < 2\epsilon\} \subset B(X)$ , and with  $b := \epsilon$  and  $c := \epsilon/|| z ||$  the requirements of (5.3) are fulfilled. Let now x be a boundary point of B(X). Then  $x + z \in B(X)$  implies  $|| x + z || \leq || x ||$  and, since X is a (P)-space, there exist positive numbers b and c such that  $|| y + cz || \leq || y ||$  for all y with || y - x || < b. Therefore, y + cz is in B(X) for all  $y \in B(X)$  with || y - x || < b.

Let now B(X) have property (P) and let x, z be in X such that  $||x + z|| \le ||x||$ . We may assume  $x \ne 0$ . Define  $x_1 := x/||x||$  and  $z_1 := z/||x||$ . Then  $x_1$  is in the boundary of B(X) and  $x_1 + z_1 \in B(X)$ . Hence, there exist  $b_1 > 0$  and  $c_1 > 0$  such that  $y + c_1z_1 \in B(X)$  for all  $y \in B(X)$  with  $||y - x_1|| < b_1$ . For y with ||y|| = 1, this implies that  $||y + c_1z_1|| \le 1$ . Choose b with 0 < b < 1 so that  $||y/||y|| - x_1|| < b_1$  holds for all y with  $||y - x_1|| < b$  and define  $c := (1 - b) \cdot c_1$ . Then for all y with  $||y - x_1|| < b$  the inequality

$$||y - cz_1|| = ||y|| \left| \frac{y}{||y||} + \frac{c}{||y||} z_1 \right| \le ||y||$$

holds, since  $c/||y|| \le c_1$  and  $||y/||y|| - x_1|| < b_1$ . Hence, we obtain finally  $||y + cz|| \le ||y||$  for all y with ||y - x|| < b ||x||.

Examples of sets with property (P) are linear subspaces, finite dimensional convex polyhedra, and intersections of finite families of half-spaces. Now we are ready to prove the continuity of the metric projection associated with sets having property (P).

THEOREM 5.5. Let X be a (P)-space and V an approximatively compact convex subset with property (P). Then the set-valued metric projection  $P_V$  associated with V is continuous.

*Proof.* Since V is approximatively compact,  $P_V$  is use by a theorem of Singer [14, p. 386]. Suppose that  $P_V$  is not lsc. Then there exist  $f \in X$ ,  $v_1 \in P_V(f)$  and  $\epsilon_1 > 0$  such that the set

$$\{g \in X : P_{\nu}(g) \cap B(v_1, \epsilon_1) \neq \emptyset\}$$

is not a neighborhood of f, that is, there exists a sequence  $f_n$  which converges to f, such that

$$P_V(f_n) \cap B(v_1, \epsilon_1) = \emptyset$$
 for all  $n$ .

Let  $v_n$  be elements of  $P_V(f_n)$ . Since V is approximatively compact, a subsequence  $v_n$  converges to an element  $v_2 \in V$ , which is in  $P_V(f)$ .

The closed segment  $[v_1, v_2]$  is a subset of  $P_{\nu}(f)$  since  $P_{\nu}(f)$  is convex. Define

 $S_1 := \{ v \in [v_1, v_2] : \text{For each neighborhood } W \text{ of } v \text{ the set} \\ P_{\nu}(f_n) \cap W \text{ is nonvoid for infinitely many } n \}.$ 

By construction we have  $v_1 \notin S_1$  and  $v_2 \in S_1$ . Now we show that  $S_1$  is closed. Let  $v_3$  be a cluster point of  $S_1$  and W a neighborhood of  $v_3$ . Then there is an element  $v_4$  in  $S_1$  such that W is also neighborhood of  $v_4$ . Hence,  $P_{\nu}(f_n) \cap W \neq \emptyset$  for infinitely many n.

Since  $S_1$  is closed there is a maximal  $\theta$  in [0, 1] for which

$$v_{\theta} := v_2 + \theta(v_1 - v_2)$$

is in  $S_1$ . By virtue of  $v_1 \notin S_1$  and  $v_2 \in S_1$  we obtain  $\theta < 1$  and

$$z := (1 - \theta)(v_1 - v_2) \neq 0.$$

Now we have  $v_1 = v_{\theta} + z \in V$  and, using  $v_1, v_{\theta} \in P_V(f)$ ,

$$\|f - v_1\| = \|f - v_\theta - z\| \leqslant \|f - v_\theta\|.$$

Since V has property (P) there exists b > 0 and c > 0 such that  $v + cz \in V$ for all  $v \in V$  with  $||v - v_{\theta}|| < b$ . Since X is a (P)-space, there exist b > 0and c > 0 such that  $||g - cz|| \leq ||g||$  for all  $g \in X$  with  $||g - (f - v_{\theta})|| < b$ . (Obviously, we may choose b and c so that they are applicable for both statements.)

Since  $v_{\theta}$  is in  $S_1$ , there exists a subsequence  $f_{n_i}$  and elements  $w_i$  in  $P_{\nu}(f_{n_i})$  such that  $\lim w_i = v_{\theta}$ . We may assume  $||w_i - v_{\theta}|| < b/2$  and  $||f_{n_i} - f|| < b/2$ . Then we obtain

$$||(f_{n_i} - w_i) - (f - v_{\theta})|| \leq ||f_{n_i} - f|| + ||w_i - v_{\theta}|| < b,$$

whence it follows that

$$||f_{n_i} - w_i - cz|| \leq ||f_{n_i} - w_i||.$$

Now we have  $w_i + cz \in V$  and  $w_i \in P_V(f_{n_i})$ , which yields  $w_i + cz \in P_V(f_{n_i})$ . Therefore,  $v_{\theta} + cz = \lim(w_i + cz)$ , and, hence,  $v_{\theta} + cz \in S_1$ . This contradicts the assumption that  $\theta$  was the maximal number such that  $v_{\theta} \in S_1$ . Thus, we have proved that  $P_V$  is lsc.

Finally, we show by an example that Theorem 5.5 is not correct if the hypothesis that V has property (P) is omitted. We note that this example

also shows that the conclusions (a)  $\Rightarrow$  (b) of [8, Theorem 6] and (A)  $\Rightarrow$  (B) of [9, Theorem 2] are not valid.

Let X be the space  $R^3$  provided with the maximum norm

$$|(x_1, x_2, x_3)| := \max(|x_1|, |x_2|, |x_3|)$$

For V we take the cone

$$V:=\{(x_1^{-},\,x_2^{-},\,x_3^{-})\in R^3: (x_1^{-}+x_3^{-})^2+|x_2^{-2}|\leqslant |x_3^{-2}|,\,0\leqslant |x_3^{-}|\leqslant 1\},$$

which fails to have property (P). We determine  $P_V$  along the straight line  $\{(1, x_2, 0) : x_2 \in R\}$ , and obtain that  $P_V$  is the whole segment [(0, 0, 0), (0, 0, 1)] for  $|x_2| \leq 1$ , and  $P_V$  is just one point of the circle

$$\{(x_1, x_2, 1) \in \mathbb{R}^3 : (x_1 + 1)^2 + x_2^2 = 1\}$$

for  $|x_2| > 1$ . Thus,  $P_{\nu}$  fails to be continuous.

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